



Regulatory Advisory

December 1, 2008



TRU Advisory: 08-06, Revision 1

TRU ATCM Application Information for Identification Numbers

The purpose of this advisory is to explain what information is needed to apply for an ARB Identification Number (IDN) as required by the Transport Refrigeration Unit (TRU) Airborne Toxic Control Measure (ATCM). This advisory explains where to find the information and how to submit the information to ARB.

How do I apply for ARB IDNs?

Apply Online

A web-based application process is currently under development. The ARB Equipment Registration (ARBER) system is scheduled to be on-line by January 6, 2009. It is highly recommended that applicants use the online system to obtain the IDN. ARBER will evaluate the information submitted online and automatically issue an ARB IDN Certification if the unit meets the requirements of the ATCM.

Some companies may need to register a large number of units; therefore, we are preparing a "batch upload" process. This process will allow a company to pre-format their data and upload it to ARBER for automatic processing. The batch upload process will employ an XML schema. A beta version of this schema is now available. This beta version contains an estimated 99 percent of the information required for the ARBER registration of TRUs; it does not include the TRU Operator Report information, which will be released in separate version.

You are encouraged to review the ARBER batch upload process and schema by going to the [batch upload page](http://www.arb.ca.gov/arber/batch.htm) of ARBER (<http://www.arb.ca.gov/arber/batch.htm>). A "Batch Upload Data Description" in Adobe Acrobat form provides annotations to indicate the user supplied fields. Applications for permission to batch upload are included on the page; the application includes basic instructions on the batch upload procedure. These applications will be accepted starting January 6, 2009, and can be mailed, faxed, or emailed to ARB. If you have questions on the batch upload process, please send an email to arber@arb.ca.gov.

Apply by Mail

Hardcopy application forms for IDNs will also be available for download via the ARB TRU website (<http://www.arb.ca.gov/diesel/tru.htm>) or can be mailed to you by calling the TRU ATCM toll-free helpline at 1-888-878-2826. Hardcopy forms can be submitted by mail (see address below) or fax (916-327-6251). Once the information on the application is confirmed, an ARB IDN Certification will be mailed to the applicant within 45 days.

The downloaded forms can be filled out on-line, printed, signed, and mailed. Mail completed forms to:

California Air Resources Board
SSD/ARBER
P.O. Box 2815
Sacramento, California 95812

What information is needed to apply for an ARB IDN?

Table A summarizes the information that will be necessary to apply for an ARB IDN. Note that fields with an asterisk (*) are required.

TABLE A: ARB Identification Number Application Information

FIELD OR CATEGORY	NECESSARY INFORMATION
OWNER BUSINESS INFORMATION	Business Name* Federal Tax Identification Number* (BN if Canadian, RFC if Mexican) Mailing Address* (street, city, state, zip code, country) Name of Primary Contact* Contact Title* Contact Telephone* Contact Email*
TRU RENTAL OR LEASE STATUS	Indicate if the unit is Rental or Lease unit and if so, then check the box for lease unit or rental unit. If not a rental or lease unit, then skip to next section.
TRU/TRU GENERATOR SET INFORMATION	TRU/TRU Generator Set Unit Type* (either TRU or TRU gen set) TRU/TRU Generator Set Unit Manufacturer* TRU/TRU Generator Set Unit Model* TRU/TRU Generator Set Unit Model Year* TRU/TRU Generator Set Unit Serial Number* TRU/TRU Generator Set Unit Identification Number*
OTHER TRU/TRU GENERATOR SET IDENTIFYING NUMBERS (at least one required)	Vehicle Identification Number (VIN) Vehicle License Number Vehicle License State Railcar Recording Mark Shipping Container Number (BIC Code) Company Equipment Number (BIC Code if TRU gen set) Military Tactical Support Equipment? Yes/No
TRU STATUS INFORMATION	Choose one from the following options: 1. Active 2. Removed From Service 3. Sold (if sold, indicate date of sale, new company name, mailing address, contact name, title, telephone, email address)
TRU ENGINE INFORMATION	TRU Engine Manufacturer* TRU Engine Power rating* (either <25 hp (<19 kW) or ≥25 hp (≥19 kW)) TRU Engine Model* TRU Engine Serial Number* TRU Engine Model Year*

FIELD OR CATEGORY	NECESSARY INFORMATION
TRU ENGINE TYPE	Check One: 1. Original Engine 2. Replacement Engine, New 3. Replacement Engine, Rebuilt: a. Date Rebuilt Engine Installed b. Rebuilt Tier c. Year of Rebuild
COMPLIANCE OPTIONS	Choose one from the following options: 1. Is the TRU/TRU Genset Retrofitted with Verified Diesel Emission Control Strategy? Yes/No If "Yes", check the box to indicate if the retrofitted engine is a flexibility engine (see TRU Advisory 08-07) and list manufacturer name, diesel emission control strategy family name, serial number, manufacture date, installation date, installer name, installer mailing address, city, state, zip code, and installer phone number. 2. Alternative Technology? Yes/No (if Yes choose one of four: electric standby, cryogenic temperature control system, alternative fuel (CNG or LPG); and list Alternative Technology implementation date) 3. None of the Above

Where do I find the information I need to submit with the IDN application?

Staff have prepared help pages that can be accessed from the ARBER website at <http://www.arb.ca.gov/arber/arber.htm>. Click on the links provided in the left hand navigation bar for TRU Model Information and TRU Compliance Information. These help pages include links to related advisories, bulletins, and flyers. Hardcopy versions are available by request by calling the TRU Help Line at 888-878-2826 (888-TRU-ATCM) and will be sent through the mail. If necessary, please contact your TRU/TRU generator set dealer or service center for assistance.

How do I affix the IDN to the TRU or TRU generator set chassis?

Within 30 days of receipt of the ARB issued IDN, the TRU ATCM requires the owner/operator to permanently affix or paint the ARB IDN on the TRU or TRU generator set chassis housing in clear view (title 13 CCR section (e)(1)(E)1.f). The ARB IDN (nine numeric digits) must be preceded by the letters "ARB" and the numbers and letters must contrast sharply in color with the color of the background surface. Letters and numbers must be readily legible during daylight hours from a distance of 50 feet while the unit is stationary. The ARB IDN must be located as follows:

- Truck and Trailer TRUs: IDN on both sides of the TRU chassis housing
- Rail car: IDN on both sides of the TRU housing or reporting marks on both sides of the rail car (see [Advisory 08-03, Rev. 2](#))
- Domestic shipping container: IDN on both sides of the TRU housing or BIC Code on both sides of the shipping container (see [Advisory 08-03, Rev. 2](#))
- TRU generator sets: IDN or BIC Code on both sides of the TRU generator set housing (see [Advisory 08-03, Rev. 2](#))

What do I do if I add a TRU or TRU generator set to my inventory after the ARB Identification Number Application (registration) and initial Operator Report deadline?

If an owner adds a TRU or TRU generator set to their California-based¹ inventory after the registration and initial Operator Report deadline, they must apply for an ARB IDN within 30 days and affix the IDN as described above within 30 days of being issued an IDN.

What do I do if the information that I provided in the IDN application changes?

Any changes in the owner information (or ownership), TRU status, unit information, engine information, or compliance status must be submitted to ARB within 30 days of those changes. These changes can be reported online using ARBER, or by mail with hardcopy forms, similar to what is described above for the initial IDN application.

How do I submit an Operator Report?

After you have the IDNs for your California-based TRUs and TRU generator sets, an Operator Report is required for all California terminals². Operator Reports are due to ARB by March 16, 2009. Equipment added to an operator's California terminal after January 1, 2009, must be brought into compliance with this regulation. An updated Operator Report is required within 30 days of the unit entering the operator's control if the unit is based in California. An Operator Report form can be submitted on-line and will be available for download from the ARBER and TRU websites. Hardcopy Operator Report forms may also be requested by calling the TRU Help Line at 888-878-2826 (888-TRU-ATCM) and will be sent through the mail. Hardcopy Operator Reports may require up to 45 days for ARB to process.

To obtain a copy of the regulation or other related compliance assistance documents, visit the TRU website at <http://www.arb.ca.gov/diesel/tru.htm>. Additional questions may be addressed by calling the toll-free TRU Help Line at 1-888-878-2826 (1-888-TRU-ATCM).

If you have a disability-related accommodation need, please go to <http://www.arb.ca.gov/html/ada/ada.htm> for assistance or contact the ADA Coordinator at (916) 323-4916. If you are a person who needs assistance in a language other than English, please contact the Bilingual Coordinator at (916) 323-7053.

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¹ "California-Based TRUs and TRU Gen Sets" means TRUs and TRU gen sets equipped on trucks, trailers, shipping containers, or railcars that a reasonable person would find to be regularly assigned to terminals within California.

² "Terminal" means any place where a TRU or TRU gen set equipped truck, trailer, shipping container, railcar or TRU gen set is regularly garaged, maintained, operated, or dispatched from, including a dispatch office, cross-dock facility, maintenance shop, business, or private residence.